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| Citation | Condition or Requirement |
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| 1932(a)(1)(A) | <p>A. <u>Section 1932(a)(1)(A) of the Social Security Act.</u></p> <p>The State of Wyoming enrolls Medicaid beneficiaries on a voluntary basis into primary care case managers (PCCMs) in the absence of section 1115 or section 1915(b) waiver authority. This authority is granted under section 1932(a)(1)(A) of the Social Security Act (the Act). Under this authority, a state can amend its Medicaid state plan to require certain categories of Medicaid beneficiaries to enroll in managed care entities without being out of compliance with provisions of section 1902 of the Act on state wideeness (42 CFR 431.50), freedom of choice (42 CFR 431.51) or comparability (42 CFR 440.230). This authority may not be used to mandate enrollment in Prepaid Inpatient Health Plans (PIHPs), Prepaid Ambulatory Health Plans (PAHPs), nor can it be used to mandate the enrollment of Medicaid beneficiaries who are Medicare eligible, who are Indians (unless they would be enrolled in certain plans—see D.2.ii. below), or who meet certain categories of “special needs” beneficiaries (see D.2.iii. - vii. below)</p> |
| | <p>B. <u>General Description of the Program and Public Process.</u></p> <p>For B.1 and B.2, place a check mark on any or all that apply.</p> |
| 1932(a)(1)(B)(i) 1932(a)(1)(B)(ii) 42 CFR 438.50(b)(1) | <p>1. The State will contract with an</p> <ul style="list-style-type: none"><input type="checkbox"/> i. MCO<input checked="" type="checkbox"/> ii. PCCM (including capitated PCCMs that qualify as PAHPs)<input type="checkbox"/> iii. Both |
| 42 CFR 438.50(b)(2) 42 CFR 438.50(b)(3) | <p>2. The payment method to the contracting entity will be:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> i. fee for service;<input type="checkbox"/> ii. capitation;<input checked="" type="checkbox"/> iii. a case management fee; for the PCCM<input type="checkbox"/> iv. a bonus/incentive payment;<input type="checkbox"/> v. a supplemental payment, or<input type="checkbox"/> vi. other. (Please provide a description below). |
| 1905(t) 42 CFR 440.168 42 CFR 438.6(c)(5)(iii)(iv) | <p>3. For states that pay a PCCM on a fee-for-service basis, incentive payments are permitted as an enhancement to the PCCM’s case management fee, if certain conditions are met.</p> |

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| | <p>If applicable to this state plan, place a check mark to affirm the state has met all of the following conditions (which are identical to the risk incentive rules for managed care contracts published in 42 CFR 438.6(c)(5)(iv)).</p> |
| | <p><input type="checkbox"/> i. Incentive payments to the PCCM will not exceed 5% of the total FFS payments for those services provided or authorized by the PCCM for the period covered.</p> |
| | <p><input type="checkbox"/> ii. Incentives will be based upon specific activities and targets.</p> |
| | <p><input type="checkbox"/> iii. Incentives will be based upon a fixed period of time.</p> |
| | <p><input type="checkbox"/> iv. Incentives will not be renewed automatically.</p> |
| | <p><input type="checkbox"/> v. Incentives will be made available to both public and private PCCMs.</p> |
| | <p><input type="checkbox"/> vi. Incentives will not be conditioned on intergovernmental transfer agreements.</p> |
| | <p><input checked="" type="checkbox"/> vii. Not applicable to this 1932 state plan amendment.</p> |
| CFR 438.50(b)(4) | <p>4. Describe the public process utilized for both the design of the program and its initial implementation. In addition, describe what methods the state will use to ensure ongoing public involvement once the state plan program has been implemented.</p> <p>The State has in place a public process which complies with the requirements of Section 1902(a)(1 3)(A) of the Social Security Act. Public notice will be published on the Wyoming Department of Health website which is available to the public on an ongoing basis. In addition ongoing public input is solicited through various provider advisory committees including the Physician Advisory Group. Tribal notification is provided through e-mail to designated tribal leadership and the Indian Health Service.</p> |

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| 1932(a)(1)(A) | <p>5. The state plan program will ___/will not <u>X</u> implement mandatory enrollment into managed care on a statewide basis.</p> <p>If not statewide, mandatory ___/ voluntary <u>X</u> enrollment will be implemented in the following county/area(s):</p> <ul style="list-style-type: none">i. county/counties (mandatory) _____ii. county/counties (voluntary) <u>ALL</u> _____iii. area/areas (mandatory) _____iv. area/areas (voluntary) <u>ALL</u> _____ |
| | <p>C. <u>State Assurances and Compliance with the Statute and Regulations.</u></p> <p>If applicable to the state plan, place a check mark to affirm that compliance with the following statutes and regulations will be met.</p> |
| 1932(a)(1)(A)(i)(I) 1903(m) 42 CFR 438.50(c)(1) | <p>1. <u>NA</u> The state assures that all of the applicable requirements of section 1903(m) of the Act, for MCOs and MCO contracts will be met.</p> |
| 1932(a)(1)(A)(i)(I) 1905(t) 42 CFR 438.50(c)(2) 1902(a)(23)(A) | <p>2. <u>X</u> The state assures that all the applicable requirements of section 1905(t) of the Act for PCCMs and PCCM contracts will be met.</p> |
| 1932(a)(1)(A) 42 CFR 438.50(c)(3) | <p>3. <u>NA</u> The state assures that all the applicable requirements of section 1932 (including subpart (a)(1)(A)) of the Act, for the state's option to limit freedom of choice by requiring recipients to receive their benefits through managed care entities will be met.</p> <p>No limitations will be placed on the recipient freedom of choice of providers.</p> |
| 1932(a)(1)(A) 42 CFR 431.51 1905(a)(4)(C) | <p>4. <u>X</u> The state assures that all the applicable requirements of 42 CFR 431.51 regarding freedom of choice for family planning services and supplies as defined in section 1905(a)(4)(C) will be met.</p> |

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| 1932(a)(1)(A) 42 CFR 438 42 CFR 438.50(c)(4) 1903(m) | 5. <input checked="" type="checkbox"/> The state assures that all applicable managed care requirements of 42 CFR Part 438 for MCOs and PCCMs will be met. |
| 1932(a)(1)(A) 42 CFR 438.6(c) 42 CFR 438.50(c)(6) | 6. <input type="checkbox"/> The state assures that all applicable requirements of 42 CFR 438.6(c) for payments under any risk contracts will be met. |
| 1932(a)(1)(A) 42 CFR 447.362 42 CFR 438.50(c)(6) | 7. <input checked="" type="checkbox"/> The state assures that all applicable requirements of 42 CFR 447.362 for payments under any nonrisk contracts will be met. |
| 45 CFR 74.40 | 8. <input checked="" type="checkbox"/> The state assures that all applicable requirements of 45 CFR 92.36 for procurement of contracts will be met. |

D. Eligible groups

- 1932(a)(1)(A)(i)
- List all eligible groups that will be enrolled on a mandatory basis.

Enrollment is voluntary for all persons and groups into PCCMs. No persons will be enrolled on a mandatory basis
 - Mandatory exempt groups identified in 1932(a)(1)(A)(i) and 42 CFR 438.50.

Use a check mark to affirm if there is voluntary enrollment any of the following mandatory exempt groups.
 - (for PCCM only) Recipients who are also eligible for Medicare.

If enrollment is voluntary, describe the circumstances of enrollment.

Clients voluntarily enroll by utilizing the fee for service provider of their choice. The client's history will indicate whether they have chosen a primary care provider.

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| 1932(a)(2)(C) 42 CFR 438(d)(2) | ii. <input type="checkbox"/> (for PCCM only) Indians who are members of Federally recognized Tribes except when the MCO or PCCM is operated by the Indian Health Service or an Indian Health program operating under a contract, grant or cooperative agreement with the Indian Health Service pursuant to the Indian Self Determination Act; or an Urban Indian program operating under a contract or grant with the Indian Health Service pursuant to title V of the Indian Health Care Improvement Act. |
| 1932(a)(2)(A)(i) 42 CFR 438.50(d)(3)(i) | iii. <input type="checkbox"/> (for PCCM only) Children under the age of 19 years, who are eligible for Supplemental Security Income (SSI) under title XVI. |
| 1932(a)(2)(A)(iii) 42 CFR 438.50(d)(3)(ii) | iv. <input type="checkbox"/> (for PCCM only) Children under the age of 19 years who are eligible under 1902(e)(3) of the Act. |
| 1932(a)(2)(A)(v) 42 CFR 438.50(3)(iii) | v. <input type="checkbox"/> (for PCCM only) Children under the age of 19 years who are in foster care or other out-of-the-home placement. |
| 1932(a)(2)(A)(iv) 42 CFR 438.50(3)(iv) | vi. <input type="checkbox"/> (for PCCM only) Children under the age of 19 years who are receiving foster care or adoption assistance under title IV-E. |
| 1932(a)(2)(A)(ii) 42 CFR 438.50(3)(v) | vii. <input type="checkbox"/> (for PCCM only) Children under the age of 19 years who are receiving services through a family-centered, community based, coordinated care system that receives grant funds under section 501(a)(1)(D) of title V, and is defined by the state in terms of either program participation or special health care needs. |

E. Identification of Mandatory Exempt Groups

- | | |
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| 1932(a)(2) 42 CFR 438.50(d) | 1. Describe how the state defines children who receive services that are funded under section 501(a)(1)(D) of title V. Enrollment in the Maternal and Child Health Children's Special Health Program. |
| 1932(a)(2) 42 CFR 438.50(d) | 2. Place a check mark to affirm if the state's definition of title V children is determined by: <input type="checkbox"/> i. program participation, <input type="checkbox"/> ii. special health care needs, or <input checked="" type="checkbox"/> iii. both |

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| 1932(a)(2) 42 CFR 438.50(d) | 3. Place a check mark to affirm if the scope of these title V services is received through a family-centered, community-based, coordinated care system. _X_ i. yes ___ ii. no |
| 1932(a)(2) 42 CFR 438.50 (d) | 4. Describe how the state identifies the following groups of children who are exempt from mandatory enrollment: i. Children under 19 years of age who are eligible for SSI under title XVI; Not applicable to voluntary PCCM program ii. Children under 19 years of age who are eligible under section 1902 (e)(3) of the Act; Not applicable to voluntary PCCM program iii. Children under 19 years of age who are in foster care or other out-of-home placement; Not applicable to voluntary PCCM program iv. Children under 19 years of age who are receiving foster care or adoption assistance. Not applicable to voluntary PCCM program |
| 1932(a)(2) 42 CFR 438.50(d) | 5. Describe the state's process for allowing children to request an exemption from mandatory enrollment based on the special needs criteria as defined in the state plan if they are not initially identified as exempt. Not applicable to voluntary PCCM program |

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| 1932(a)(2) 42 FR 438.50(d) | 6. Describe how the state identifies the following groups who are exempt from mandatory enrollment into managed care: i. Recipients who are also eligible for Medicare Not applicable to voluntary PCCM program ii. Indians who are members of Federally recognized Tribes except when the MCO or PCCM is operated by the Indian Health Service or an Indian Health program operating under a contract, grant or cooperative agreement with the Indian Health Service pursuant to the Indian Self Determination Act; or an Urban Indian program operating under a contract or grant with the Indian Health Service pursuant to title V of the Indian Health Care Improvement Act. Not applicable to voluntary PCCM program |
| 42 CFR 438.50 | F. List other eligible groups (not previously mentioned) who will be exempt from mandatory enrollment Not applicable to voluntary PCCM program |
| 42 CFR 438.50 | G. List all other eligible groups who will be permitted to enroll on a voluntary basis All groups described as eligible in Section 2.2 of Wyoming's approved State Medicaid Plan will be permitted to enroll on a voluntary basis into the PCCM program. Wyoming Medicaid will not make PMPM payments for Medicare buy-in individuals (QMB, SLMB), non-full Medicaid individuals (Breast and Cervical Cancer program, Tuberculosis program) or emergency service groups (non-citizens). |

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H. Enrollment process.

1932(a)(4)
42 CFR 438.50

1. Definitions

- i. An existing provider-recipient relationship is one in which the provider was the main source of Medicaid services for the recipient during the previous year. This may be established through state records of previous managed care enrollment or fee-for-service experience.
- ii. A provider is considered to have "traditionally served" Medicaid recipients if it has experience in serving the Medicaid population.

1932(a)(4)
42 CFR 438.50

2. State process for enrollment by default.

Describe how the state's default enrollment process will preserve:

- i. the existing provider-recipient relationship (as defined in H. I. i).

For the PCCM program:

Wyoming Medicaid will not disrupt or interfere with the existing provider-recipient relationship.

There is no default enrollment process, but there will be a look-back at paid claims for the past 12 months for the PCCM for selected Evaluation and Management and Preventive Visit codes for Established Patients. If the client is currently Medicaid enrolled, the PCCM with all of the selected Evaluation and Management and Preventive Visit codes visits with a specific client will receive the attribution and the PMPM payment for that client for the month. If Wyoming Medicaid is billed a PMPM for the same month by multiple providers and the client used services at multiple PCCMs, the client will be attributed to neither PCCM resulting in denial of both PMPM provider claims for the month. The attribution will be re-assessed on a monthly basis for a rolling twelve months (i.e. each month, the oldest month will be dropped and the newest month added).

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| ii. | the relationship with providers that have traditionally served Medicaid recipients (as defined in H.2.ii). <u>For the PCCM program:</u> Any willing provider that meets the enrollment criteria may enroll. |
| iii. | the equitable distribution of Medicaid recipients among qualified MCOs and PCCMs available to enroll them, (excluding those that are subject to intermediate sanction described in 42 CFR 438.702(a)(4)); and disenrollment for cause in accordance with 42 CFR 438.56 (d)(2). <u>For the PCCM program:</u> Any willing provider that meets the enrollment criteria may enroll. |
| 1932(a)(4) 42 CFR 438.50 | 3. As part of the state's discussion on the default enrollment process, include the following information: The PCCM program is voluntary and therefore does not utilize a default enrollment process. Items 3.i-3vi below do not apply to the enhanced PCCM program. i. The state will ___/will not <input checked="" type="checkbox"/> use a lock-in for managed care managed care. ii. The time frame for recipients to choose a health plan before being auto-assigned will be ___N/A___. |

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| iii. | Describe the state's process for notifying Medicaid recipients of their auto-assignment. <i>(Example: state generated correspondence.)</i> |
| | Not applicable |
| iv. | Describe the state's process for notifying the Medicaid recipients who are auto-assigned of their right to disenroll without cause during the first 90 days of their enrollment. <i>(Examples: state generated correspondence, HMO enrollment packets etc.)</i> |
| | Not applicable |
| v. | Describe the default assignment algorithm used for auto-assignment. <i>(Examples: ratio of plans in a geographic service area to potential enrollees, usage of quality indicators.)</i> |
| | Not applicable |
| vi. | Describe how the state will monitor any changes in the rate of default assignment. <i>(Example: usage of the Medical Management Information System (MMIS), monthly reports generated by the enrollment broker)</i> |
| | Not applicable |

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| 1932(a)(4) 42 CFR 438.50 | <p data-bbox="455 548 971 573">I. <u>State assurances on the enrollment process</u></p> <p data-bbox="515 606 1435 663">Place a check mark to affirm the state has met all of the applicable requirements of choice, enrollment, and re-enrollment.</p> <p data-bbox="515 699 1435 821">1. ___ The state assures it has an enrollment system that allows recipients who are already enrolled to be given priority to continue that enrollment if the MCO or PCCM does not have capacity to accept all who are seeking enrollment under the program.</p> <p data-bbox="574 854 1372 879"> <u>X</u> This provision is not applicable to this 1932 State Plan Amendment.</p> <p data-bbox="515 947 1435 1068">2. ___ The state assures that, per the choice requirements in 42 CFR 438.52, Medicaid recipients enrolled in either an MCO or PCCM model will have a choice of at least two entities unless the area is considered rural as defined in 42 CFR 438.52(b)(3).</p> <p data-bbox="574 1102 1372 1127"> <u>X</u> This provision is not applicable to this 1932 State Plan Amendment.</p> <p data-bbox="515 1194 1435 1251">3. ___ The state plan program applies the rural exception to choice requirements of 42 CFR 438.52(a) for MCOs and PCCMs.</p> <p data-bbox="634 1285 1435 1310"> <u>X</u> This provision is not applicable to this 1932 State Plan Amendment.</p> <p data-bbox="515 1350 1435 1467">4. ___ The state limits enrollment into a single Health Insuring Organization (HIO), if and only if the HIO is one of the entities described in section 1932(a)(3)(C) of the Act; and the recipient has a choice of at least two primary care providers within the entity. (California only.)</p> <p data-bbox="634 1501 1435 1526"> X___ This provision is not applicable to this 1932 State Plan Amendment.</p> <p data-bbox="515 1562 1435 1646">5. ___ The state applies the automatic reenrollment provision in accordance with 42 CFR 438.56(g) if the recipient is disenrolled solely because he or she loses Medicaid eligibility for a period of 2 months or less.</p> <p data-bbox="574 1680 1397 1738"> X___ (for the PCCM program only) This provision is not applicable to this 1932 State Plan Amendment.</p> |

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1932(a)(4)
42 CFR 438.50

J. Disenrollment

1. The state will ___/will not use lock-in for managed care.
2. The lock-in will apply for N/A months (up to 12 months).
3. Place a check mark to affirm state compliance.

___ The state assures that beneficiary requests for disenrollment (with and without cause) will be permitted in accordance with 42 CFR 438.56(c).

Not Applicable

4. Describe any additional circumstances of "cause" for disenrollment (if any).

None

K. Information requirements for beneficiaries

Place a check mark to affirm state compliance.

1932(a)(5)
42 CFR 438.50
42 CFR 438.10

The state assures that its state plan program is in compliance with 42 CFR 438.10(i) for information requirements specific to MCOs and PCCM programs operated under section 1932(a)(1)(A)(i) state plan amendments. (Place a check mark to affirm state compliance.)

1932(a)(5)(D)
1905(t)

L. List all services that are excluded for each model (MCO & PCCM)

For the PCCM program:

No services are excluded for the PCCM program.

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1932 (a)(1)(A)(ii)

M. Selective contracting under a 1932 state plan option

To respond to items #1 and #2, place a check mark. The third item requires a brief narrative.

1. The state will ___/will not intentionally limit the number of entities it contracts under a 1932 state plan option.
2. ___ The state assures that if it limits the number of contracting entities, this limitation will not substantially impair beneficiary access to services.
3. Describe the criteria the state uses to limit the number of entities it contracts under a 1932 state plan option.

For the PCCM program:

There is no limit on enrollees. There is no limit on providers meeting qualification to enroll. Wyoming uses a non-competitive contracting and enrollment process soliciting applications from interested Family/General Practice, Internal Medicine, and Pediatric providers in all areas of the state.

4. The selective contracting provision in not applicable to this state plan.